Estimation of human walking speed by Doppler radar for elderly care

Liyang Rui a, Shanjie Chen a, K.C. Ho a,*, Marilyn Rantz b and Marjorie Skubic a
a Department of Electrical Engineering and Computer Science, University of Missouri, Columbia, MO, USA
E-mails: steve.rui1983@gmail.com, sjchen1984@gmail.com, hod@missouri.edu, skubicm@missouri.edu
b Sinclair School of Nursing, University of Missouri, Columbia, MO, USA
E-mail: rantzm@missouri.edu

Abstract. This paper presents a human walking speed estimation algorithm using a Doppler radar system for in-home passive gait assessment of elderly adults. The Fast Fourier Transform (FFT) has been a common approach to obtain the gait speed estimation. The proposed algorithm analyzes the received radar signal by counting its zero-crossing periods in the time domain and applies an interquartile range filter to improve its robustness with respect to the gait signature dynamics in the radar data. The proposed algorithm provides better accuracy and does not seem to produce extreme incorrect speed estimates compared to the previously developed FFT method. It has been applied to the Doppler radar system that is deployed in in-home environments to continuously monitor the daily activities of the senior residences and estimate their gait speeds. Improving the accuracy of gait parameters can better facilitate the assessment of the physical conditions of the seniors.

Keywords: Doppler radar, short time Fourier transform, zero-crossing, gait, eldercare

1. Introduction

An in-home health monitoring system is beneficial to improving the life quality of elderly people by detecting harmful events [4,21] and continuously assessing the physical condition of the seniors [10,35,36,43,44]. Researchers [16,22,31] have shown that gait characteristics are important indicators in the assessment and diagnosis of frailty and fall risks of elderly adults. [24] found that the gait velocity is an important predictor of adverse events when the elderly adults are in good condition. [8] showed gait velocity slowing precedes cognitive impairment. The daily walking speed measurements have been indicated to be better able to predict the score of the physical performance of the seniors than the traditional instruments, such as Habitual Gait Speed (HGS) [24], Timed-Up and Go (TUG) [27], and Short Physical Performance Battery (SPPB) [41]. Therefore, continuous gait measurements based on the in-home walking activities of seniors can draw the trends of their gait profiles over time and imply potential health risk [28]. The typical gait monitoring systems are based on video [1,15,17,23,46], wearable sensors [14,33,38], and Doppler radars [13,20,32,39]. Comparing to other sensors, the Doppler radar is attractive because it can detect and measure any movement in the presence of stationary clutter at the background. It provides a more comfortable perception to the elderly compared to the vision based sensors including Kinect since it has the ability to penetrate structures and can hide inside a furniture item or behind a wall. It does not raise the privacy issue for in-home monitoring and avoids the inconvenience caused by the wearable devices [10]. A Doppler radar can sense the motion in the direction between the radar and the subject only and may not have a wide viewing angle. These concerns could be handled by using a few Doppler radars distributed at several locations or positioning the system at the end of a hallway that naturally constrains the walking path.

The possibility of integrating a Doppler radar into an in-home elder care monitoring system has been inves-
tigated in the lab environment in [9,43,45] with positive results reported. The goal of such a system is to track the change of gait characteristics over time and detect the rise of fall risks based on the measurements from the daily in-home activities of the elderly adults so that effective health care can be adopted early to reduce adverse events. The operating environment we assumed in this work is a single person in the viewing range of a Doppler radar and the identity of the person is known. Such an environment is typical in a senior residence apartment. In this study, we propose a walking speed estimation algorithm for elderly adults based on Doppler signatures and evaluate its performance in realistic in-home environments of the seniors. Unlike the traditional spectrogram based algorithm [43,45], this method explores the Doppler signatures in time domain, analyzes their dynamics by short time zero-crossing (STZC) and employs an interquartile range filter to combat the environmental disturbance, yielding more reliable and accurate results. Its robustness is first investigated in a lab environment case study when the highly accurate video based tracking system, Vicon, is served as ground truth. In addition, we discuss the effect of Doppler angle [40] on the gait speed estimation. The proposed method has been implemented in a realistic in-home elder care scenario and its performance is evaluated.

The paper is organized as follows. Section 2 briefly presents related work. Section 3 describes the function and setting of the Doppler radar used in the study along with a summary about the Vicon system and Kinect sensor which serve as the ground truths. Section 4 proposes the gait speed estimation method. Section 5 analyzes its robustness by lab experiments and discusses the effect of the Doppler angle. Section 6 evaluates its performance based on the measurements captured from real in-home activities of many seniors. Section 7 concludes the paper.

2. Related work

Traditionally, trained health care givers assess the walking ability of elderly people in an outpatient setting. In addition to high cost, the accuracy of such method is inconsistent, which is mainly caused by the test environment [2], experience level of the assessor [7,19], as well as the emotional state of the subject [44]. It would be more attractive using an in-home monitoring system that can automatically measure and monitor the gait characteristics of seniors from their daily activities.

The video based methods have been widely investigated for human activity analysis. Specifically for the purpose of elder care, Wang et al. [44], proposed an indoor webcam-based system to estimate the gait characters of seniors including walking speed, stride time and stride length. Similar functions are realized in [35] by using the Kinect sensor. Both of them show good estimation accuracies. However, the implementation of a visual system has many limitations, such as lighting condition, occlusion, and the privacy concerns. Some researchers utilized wearable devices to monitor the senior adult activities. [33] performed indoor localization of residents by using a RFID sensor. [14] took advantage of a smart device, which may be already owned by the residents, and used the built-in accelerometer to obtain gait parameters. [38] investigated the potential of gait characterization by Fitbit wristband. The major problem of wearable device based solution is that carrying a device for long time might not be favored by the seniors, especially when they do not feel well [10].

An emerging solution for the human gait analysis is to use the Doppler radar. The appearance of Doppler signatures in the radar returns caused by human motions has been investigated based on time-frequency analysis in [13,20,32,39]. Otero [25] designed a simple, binary classifier to detect if a person is present. [3,5,34] further proposed classifiers to distinguish humans from animals and other objects. Kim and Ling [18] designed a support vector machine to identify different human activities such as walking, running, crawling from the Doppler signatures. [26] focused on the gait analysis and proposed a classifier to distinguish walking from all the other activities. Yardibi et al. estimated the human walking speed by computing the short time Fourier transform (STFT) of the radar signal and obtained good accuracy in the lab experiments [45]. They also compared the results from radar with those from the staff performing fall risk assessment [9]. [43] further investigated the performance of the method in [9,45] by evaluating the effect of Doppler angle by laboratory experiments.

In this paper, we extend the study from the lab environment [9,43,45] to the actual residences of the senior adults. Comparing to the lab condition, the actual living environment is more complex. We implement the classifier in [26] to distinguish walks from other human activities, develop a new gait speed estimation al-
3. Systems

3.1. Pulse-Doppler radar

The Doppler radar used in this study is a pulse-Doppler radar. The proposed algorithm is applicable to other forms of the Doppler radar such as continuous wave. The pulse-Doppler radar in this study has a carrier frequency of 5.8 GHz, a pulse repetition frequency of 10 MHz and a duty cycle of 40%. It has a 20 feet observation range and a 90 degree viewing angle [29]. The radar continuously sends out signal and mixes it with the received echo. It then produces the output after a low pass filter. The radar output is digitalized and collected by a DI-710 data logger from the DATAQ Instruments, Inc. with a sampling frequency $f_s$ of 960 Hz and an A/D resolution of 14 bits [11]. The digital radar data are transmitted to the computer through a Wi-Fi network for further analysis.

The radars were concealed in a wooden box with a height of 0.15 m above the floor as shown in Fig. 1. The radar system was placed in the living room of each senior resident and continuously observed the human motion 24 hours everyday. The walking classifier proposed in [26] was implemented in this study to extract the radar data segments corresponding to human walks.

3.2. Vicon

The Vicon [42] is a highly accurate human motion capture system. The system measures the 3D coordinates of the reflective markers on the elbows, shoulders, knees, chest and back of the human subjects at a rate of 100 frames per second [42]. The system has been installed in the lab and it serves to provide the ground truth of human walking speed for evaluation. To compute the ground truth, it divides the distance travelled by the back marker in the 2D ($x$ and $y$) coordinates by the duration of the walk.

3.3. Kinect

The Kinect system was released by the Microsoft with the original purpose for controller free video gaming. It has been successfully developed to be an in-home gait assessment system by Stone et al. [35], which estimates the walking speeds and stride lengths of senior subjects with high accuracy. It provides the ground truth data of the walking speeds of the senior subjects in the residential experiments. Similar to the radar system, the Kinect sensor is installed in the living rooms of several senior residents at physically separated locations. It is placed on a shelf beside the main door with a height of 2.75 m (a few centimetres below the ceiling) as shown in Fig. 2. Note that the position relationships between the radar and the Kinect are not the same among different elderly residents due to the diversity of their home configurations. But their 3D coordinates have been measured before data collection.

Fig. 1. The radar system. The figure on the left is the wooden box which contains the radar, data logger and the wireless router. The figure on the right shows their deployment inside the box. They are the data logger, Doppler radar and wireless router from left to right.

Fig. 2. The Kinect system.
4. Methodology

The estimation of walking speed uses the frequency change between the transmit and the receive radar signal due to the Doppler effect. The relationship between the frequency shift in radar output $f_r$ and the walking speed $v_{\text{Doppler}}$ in the direction along the radar and the object is [30]

$$v_{\text{Doppler}} = c \times f_r / (2 f_c),$$

where $c$ is the speed of light, $f_c$ is the carrier frequency that is equal to 5.8 GHz for the radar we use.

This section proposes STZC, which is a method operating in the time domain to estimate the walking speed from the Doppler radar signal. We shall begin with the pre-processing of the radar data and then present the STZC method. A short review of the frequency domain based STFT method [43,45] will be given to contrast the difference with our approach and form the basis for performance study in Sections 5 and 6.

4.1. Pre-processing of radar data

The purpose of the pre-processing is to reduce the noise and interference in the data before applying a speed estimation algorithm. The pre-processing passes the data through an order 10 Butterworth bandpass filter with the passband over $[5, 60]$ Hz. It essentially eliminates the frequency components that are not caused by the walking speed. According to (1) this frequency range corresponds to a speed range of $[0.13, 1.56]$ m/s, which represents a reasonable level of senior walking speed expected.

Figure 3 shows the spectrogram of a typical walking data series before and after passing through the Butterworth bandpass filter. In Fig. 3(a), the dynamic behavior of the spectral content is very obvious from the gait characteristics. The radar data have high frequency components caused by leg motions and low frequency components resulted from torso motions [9,25]. The low frequency components often have greater energy since the torso has larger reflection area. Figure 3(b) indicates the pre-processing by the bandpass filter effectively reduces the effect of leg motions and preserves the torso motion information.

4.2. The STZC method

The STZC method estimates the walking speed by analyzing the dynamics of the radar data in the time domain using three steps.

4.2.1. First step

The first step processing divides the pre-processed radar data into $M$ small segments of 400 samples each through the Hanning window with 75% overlap, where $M$ is the number of total small segments. As shown in [9,43,45], the 400 samples segment length is an appropriate choice. At 960 Hz sampling, each segment corresponds to $400 / 960 = 0.4167$ s.

For example, Fig. 4(a) plots the 59th segment of the same radar data in Fig. 3 (around 6.04 s). It clearly shows the harmonic pattern caused by human walk. We shall denote the $m$th segment as $x_m(n)$, $n = 1, 2, \ldots, 400$ and $m = 1, 2, \ldots, M$.

4.2.2. Second step

For each segment we generate the zero-crossing series $y_m(n)$, by

$$y_m(n) = \left| \text{sgn}(x_m(n)) - \text{sgn}(x_m(n - 1)) \right|$$

where $x_m(0) = 0$ and $\text{sgn}(\bullet)$ is the signum function

$$\text{sgn}(x(n)) = \begin{cases} 1, & (\bullet) \geq 0, \\ 0, & (\bullet) < 0. \end{cases}$$
Fig. 4. Illustration of the STZC method. (a) A windowed radar data segment from the first processing step. (b) The radar data segment with zero-crossing points identified by $n_l$ in the second processing step. (c) The individual zero-crossing frequency estimates from the second step.

The index $n$ in which $y_m(n) = 1$ indicates the location at which a sign change in $x_m(n)$ occurs. Figure 4(b) highlights the zero-crossing points in Fig. 4(a).

Suppose there are $L + 1$ zero-crossings that occur at indices $n_l$, $l = 1, 2, \ldots, L + 1$. According to [6], we can obtain $L$ zero-crossing frequency estimates in the $m$th segment by

$$f_{ZC,m}(l) = f_s (n_{l+1} - n_l)^{-1}/2.$$  

(4)

Figure 4(c) shows the estimated zero-crossing frequencies between each pair of zero-crossing points. The dynamic characteristics of the radar data cause the zero-crossing frequency estimates vary significantly in time. To improve the robustness in the speed estimate, we sort $f_{ZC,m}(l)$ in ascending order and discard the lower and the upper 25%. The frequency estimate resulted from the torso motion in the $m$th segment is set as the harmonic mean of the remaining, and it is denoted as $\tilde{f}_{ZC,m}$.

4.2.3. Third step

Finally, the mean of $\tilde{f}_{ZC,m}$, $m = 1, 2, \ldots, M$, is put into (1) to compute the walking speed as

$$v_{STZC} = c \times \left(\frac{1}{M} \sum_{m=1}^{M} \tilde{f}_{ZC,m}\right)/ (2f_c).$$  

(5)

4.3. The STFT method

The STFT method [9,43,45] was designed to determine the gait speed from the frequency domain. It also contains three steps.

4.3.1. First step

It is identical to the first step in the STZC method by segmenting the data into $M$ segments.

4.3.2. Second step

The second step applies FFT to each segment and set the frequency estimate of the $m$th segment as the location of the spectral peak in the first half. That is,

$$f_{FT,m} = \frac{f_s}{N} \times \arg \max_{k=0,1,\ldots,N/2-1} |\text{FFT}(x_m(n))|,$$  

(6)

where $f_s = 960$ Hz is the sampling frequency, $N = 400$ is the segment size and $k$ is the frequency index.

4.3.3. Third step

The third step computes the average of the overall frequency estimates $f_{STFT,m}$ from the $M$ segments and converts it to velocity using (1):

$$v_{STFT} = c \times \left(\frac{1}{M} \sum_{m=1}^{M} f_{FT,m}\right)/ (2f_c).$$  

(7)

To improve the estimation result, the STFT method is repeated twice for the purpose of adjusting the preprocessing filter bandwidth according to the overall frequency estimate obtained in the first time [9].

5. Analysis

We would like to contrast the benefit of the proposed method with respect to the STFT technique. The proposed method is expected to be more robust in dealing with the undesirable variations in the data.

The Fourier transform is a very powerful tool to analyze the frequency content of a signal that is stationary. The radar output is expected to be a harmonic signal at the Doppler frequency. In practical scenarios, the radar data has significant amount of modulation in the amplitude caused by the motion and reflections. The amplitude modulation creates additional frequency components, dilutes the Doppler frequency spectral peak and could produce false peaks, making the speed estimate from the STFT approach less accurate.
The proposed technique looks into zero-crossing to estimate the Doppler frequency. It ignores the variations in the amplitude and is resilient to the amplitude modulation effect. Furthermore, we apply the interquartile range filter to eliminate the outliers in the zero-crossing intervals caused by the noise and other undesirable variations in the data. Hence we expect a more reliable result by the proposed method.

A laboratory experiment was conducted. As illustrated in Fig. 5, a male subject walked towards and then away from the radar. The Vicon system recorded the walking trajectory for providing the ground truth. When the radar observes a human walk, it receives a mixture of the reflections from different parts of the subject [13], such as arm, torso and leg. The motion and reflection create amplitude modulation and undesirable frequency components as can be observed in Fig. 6 which depicts the 61st and 72nd segments of the radar data. Figure 7 gives the FFT magnitude spectra of the two segments. The spectrum in Fig. 7(a) gives the correct frequency estimate for the 61st segment. A strong false peak occurs in the spectrum in Fig. 7(b) for the 72nd segment, yielding an incorrect and very far away frequency estimate.

Figure 8 gives the values of the inverse of zero-crossing intervals \( f_{ZC,m(l)} \) for the two segments from the second step of the proposed STZC method. The values vary considerably due to the dynamic nature in the data. The interquartile range filter eliminates the extreme values and the frequency estimate in the segment is shown in dotted line. It matches with the ground truth very well for the 61st segment, and is slightly off for the other segment.

Figure 9 shows the walking speed estimates by the STFT and STZC methods from each segment over a duration of 4 s. We have about 38 estimates in each since the 400 sample segment is advanced each time by 100 samples (about 104 ms). Most of the time both methods are able to provide accurate estimates. The STFT method sometimes produces extreme outlier values at some segments. On the other hand the STZC method does not experience this behavior and produces reasonably good estimates in those segments.

Another drawback of the STFT method is that it may not be able to produce a speed estimate when the walking speed varies within a segment. The Fourier analysis assumes a stationary signal and a varying speed segment will not yield a strong harmonic peak in the

Fig. 5. Layout of the laboratory experiment. The shadowed sectors are the Vicon sensors whose heights are 3 m. The circle represents a male human with height 1.75 m, and the triangle represents the Doppler radar that is 0.15 m above the floor.

Fig. 6. Radar data of the laboratory experiment. (a) The 61st segment (6.25 s). (b) The 72nd segment (7.40 s).

Fig. 7. Robustness analysis of STFT method. (a) Spectrum of the 61st segment (6.25 s). (b) Spectrum of the 72nd segment (7.40 s).
Fig. 8. Robustness analysis of STZC method. (a) Zero-crossing frequency estimates of the 61st segment (6.25 s). (b) Zero-crossing frequency estimates of the 72nd segment (7.40 s).

Fig. 9. Performance of the STFT and STZC methods in the lab experiment.

FFT magnitude spectrum to produce the Doppler frequency estimate. The proposed STZC method does not have this problem because it estimates the Doppler frequency within a segment in the time domain. We expect in such a segment with high speed variation, the STZC method as described in Section 4.2 will yield an average speed estimate within the segment.

Table 1 illustrates the root mean square errors (RMSEs) of the STZC and STFT algorithms for the segments of 8 walks collected in the lab environment. The STZC outperforms STFT for all of the walks. Table 2 shows the maximum absolute errors for the same 8 walks and provides similar observations. Tables 1–2 illustrate that the STZC method provides considerable improvement in RMSE and significant improvement in maximum absolute error. Improvement in the maximum absolute error will reduce false alarms in triggering an alert to the caregivers in which a fatal event such as falling down may have occurred.

The STZC method is applicable for a single moving subject and has a limitation of estimating the speeds when multiple human subjects are present. The STFT method may be able to provide reasonable speed estimates when the Doppler components created by different people are widely separated in the frequency domain [6]. The STZC may not be suitable if the subject is living with his/her family. In addition, STZC may not provide good estimates if the signal-to-noise ratio is low.

5.1. The effect of Doppler angle

According to the principle of Doppler effect, the radar can only detect the relative speed component along the direction between the radar and human. If the true gait speed is $v_{true}$ and the angle between the velocity vector and the radar with respect to the object is $\theta$ as shown in Fig. 10, the observed walking speed
from the radar is [40]

\[ v_{\text{Doppler}} = v_{\text{true}} \times \cos \theta. \]  

(8)

Note that \( \theta \) is changing during the walk. It is necessary to take this Doppler angle into account when evaluating the gait speed estimates from different methods applied to the radar data [43]. The detail of obtaining the Doppler angle in the performance evaluation is explained in the next section.

6. Experimental results

To further evaluate STZC in a practical scenario, we conducted experiments in the apartments of the senior residence TigerPlace, a senior adult living community in Columbia, Missouri. The experiments and data collections from human subjects were approved by the Institutional Review Board (IRB) at the University of Missouri. The radar data were collected from 8 seniors during January 1, and March 31, 2012 and the number of walking segments available for the performance evaluation is shown in Table 3. The radar and Kinect are installed in the living room of each subject and they are not necessarily placed at the same location for different apartments, depending on the configurations of the apartments. Fig. 11 shows the layout of subject 1 as an example. We used the speed estimates from the Kinect [36] as the ground truths for the evaluation of the performance of STZC and STFT methods. The ground truths are derived from the Kinect sensor since we were not able to install the Vicon system in the senior residence apartments. The Kinect sensor has a resolution limited to cm and may have some level of inaccuracy in the obtained speed. They should be taken into account when examining the results in Tables 3–4. Only the data portions detected as walks by both the radar [26] and the Kinect [36] are used for evaluations.

To compensate the effect of Doppler angle in (8), the starting and ending positions of a resident in 3D from each walk are obtained from the Kinect image [35]. Given the radar and Kinect positions and the gait speed estimates from the Kinect, the Doppler angle \( \theta \) during
the walk can be deduced from the geometry [12]. Due to the limitation of the radar coverage area, only the walks with $\theta < 60^\circ$ are used in the evaluation. The real walking speed $v_{\text{true}}$ is obtained using the Kinect [36]. The ground truth $v_{\text{Doppler}}$, which is the physical quantity that can be detected by the Doppler radar, is calculated using (8).

Table 3 summarizes the RMSEs of the two walking speed estimation algorithms (STZC and STFT). Both methods show good estimation accuracy while the STZC outperforms the STFT with smaller error for most of the eight subjects. This observation is consistent with the theoretical analysis in Sections 4 and 5. The improvement is not as large as in the lab experiment. Part of the reason could come from the detection of the walking segments, as well as the quality of the ground truths derived from the Kinect. Nevertheless, the improvement of RMSE is at least 5% for most subjects as illustrated in Table 3.

Table 4 summarizes their reflective correlation coefficients and indicates that STFT and STZC are highly correlated to the ground truth.

In the proposed STZC method, the interquartile filter provides the robustness of the speed estimate by eliminating unreasonable zero-crossing values due to noise or signal distortion. It appears the Doppler angle estimation is the component that requires further improvement. The angle estimates for the results presented are based on the Kinect image which has a position accuracy in the order of cm only [35,36].

The recent research study [37] has shown that the daily walking speed is able to predict the scores of the traditional individual physical performance instruments, such as HGS, TUG, and SPPB. However, it also exposes the prediction performance of the physical score is sensitive and influenced by the accuracy of the walking speed estimates. It is a subject of our future study to quantitatively analyze how the error of the walking speed affects the assessment of the physical conditions of the seniors.

7. Conclusion

In this study, we have proposed a new algorithm, STZC, to estimate the gait speed of a human subject based on a Doppler radar system. Unlike the previously proposed STFT method that obtains the speed estimate through the frequency domain analysis, the STZC produces the estimate in the time domain through identifying the zero-crossing locations and an interquartile filter. The proposed STZC offers more robust and accurate estimates, whose performance is validated through experiments in the laboratory and in the senior residences. A single Doppler radar can only provide the velocity component along the direction between the subject and the radar. Future research would be to investigate the use of multiple Doppler radars and their optimum placements for gait speed estimation in elder care.

Acknowledgement

Research supported in part by the Agency for Healthcare Research and Quality under Grant R01HS018477.

References

Do not hallucinate.
1425–1431. doi:10.1109/TUFFC.2006.1665099.


Copyright of Journal of Ambient Intelligence & Smart Environments is the property of IOS Press and its content may not be copied or emailed to multiple sites or posted to a listserv without the copyright holder's express written permission. However, users may print, download, or email articles for individual use.